

Investor Preference Review

1) How important are peace of mind and security for your investment assets?

1 2 3 4 5 6 7 8 9 10
Not important Very important

2) Which of the following is a more important goal for your investment portfolio?

_____ Manage Risk and Return _____ Maximize Return at Any Cost

3) My primary objectives for investing are (select up to three):

_____ Beat the S&P 500 Index _____ Comfortable Retirement
_____ Do Better than Others _____ Build a Legacy
_____ Financial Independence _____ Get Rich Quickly
_____ College/Education Funding

4) Which of the following does a stock index (i.e. S&P 500, Nasdaq, DJIA) have in common with you?

_____ Pays a Mortgage _____ Puts Kids Through School
_____ Retires _____ Sleeps at Night
_____ Defined Time Horizon _____ None of the Above